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## CHAPTER II

### TRANSMITTAL LETTER TO THE UNITED STATES ELECTED OFFICE (EO/US)

(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)

PCT/KR00/01026

INTERNATIONAL APPLICATION NO.

8 September 2000 (08.09.00)

INTERNATIONAL FILING DATE

10 September 1999 (10.09.99)

PRIORITY DATE CLAIMED

THE SLIDING WINDOW AND DOOR SYSTEM OF FILLING A RAIL

TITLE OF INVENTION

Myung-shin PARK

APPLICANT(S)

Box PCT

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Attention: EO/US

1. This national phase application claims priority of the following national application(s):

Korean Patent Application No. 1999/38490 filed September 10, 1999.

2. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 USC 371:

A. ☒ This express request to immediately begin national examination procedures (35 USC 371(f)).

B. ☒ The U.S. National Fee (35 USC 371(c)(1) and other fees (37 CFR 1.492) indicated in the attached fee calculation sheet.

3. ☒ A copy of the International application as filed [35 USC 371(c)(2)]:

☒ A translation of the application [371(c)(3)]:

a. ☐ is transmitted herewith.

b. ☐ is not required.

b. ☐ is not required as the application was filed with the United States Receiving Office.

☐ is not required.

☐ is not required.

c. ☒ has been transmitted

i. ☒ by the International Bureau. Date of mailing of the application (from form PCT/IB/308): 22 March 2001 (22.03.01).

ii. ☐ by applicant on (date) \_\_\_\_\_.

4. ☒ A translation of the International application into the English language [35 USC 371(c)(2)]:

a. ☐ is transmitted herewith.

b. ☒ is not required as the application was filed in English.

c. ☐ was previously transmitted by applicant on (date) \_\_\_\_\_.

d. ☐ will follow (within 32 months of earliest priority date).

5. ☒ Amendments to the claims of the International application under PCT Article 19 [35 USC 371(c)(3)]:

a. ☐ are transmitted herewith.

b. ☐ have been transmitted

i. ☐ by the International Bureau. Date of mailing of the amendment (from form PCT/IB/308): \_\_\_\_\_.

ii. ☐ by applicant on (date) \_\_\_\_\_.

c. ☒ have not been transmitted as

i. ☒ applicant chose not to make amendments under PCT Article 19. Date of mailing of Search Report (from form PCT/ISA/210): 28 December 2000 (28.12.00).

ii. ☐ the time limit for the submission of amendments has not yet expired. The amendments or a statement that amendments have not been made will be transmitted before the expiration of the time limit under PCT Rule 46.1.

11. [ ] An International Search Report (PCT/ISA/210) has been filed.

6. ☒ A translation of the amendments to the claims under PCT Article 19 [35 USC 371(c)(3)]:

a. ☐ is transmitted

a. ☐ is transmitted herewith.

b. ☐ is not required as the amendments were made in the English language.

c. ☒ has not been transmitted for reasons indicated at point 5c above.

d. ☐ will be transmitted

7. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409)
- ☒ is transmitted herewith.
  - ☐ is not required as the application was filed with the United States Receiving Office.
8. ☒ Annex(es) to the International Preliminary Examination Report
- ☐ is/are transmitted herewith.
  - ☐ is/are not required as the application was filed with the United States Receiving Office.
  - ☒ is/are not being transmitted as there is/are no Annex(es).
9. ☒ A translation of the annexes to the International Preliminary Examination Report
- ☐ is transmitted herewith.
  - ☐ is not required as the annexes are in the English language.
  - ☒ is not being transmitted for the reason indicated at point 8c above.
10. ☒ An oath or declaration of the inventor [35 USC 371(c)(4)] complying with 35 USC 115
- ☐ was previously submitted by applicant on (date) \_\_\_\_\_.
  - ☒ is submitted herewith and such oath or declaration
    - ☐ is attached to the application
    - ☒ identifies the application and any amendments under PCT Article 19 which were transmitted as stated in points 5a or b; and states that they were reviewed by the inventor as required by 37 CFR 1.70.
  - ☐ will be provided in response to a Notice to File Missing Requirements.
11. ☒ An International Search Report (PCT/ISA/210) or Declaration under PCT Article 17(2)(a):
- ☐ is transmitted herewith.
  - ☒ has been transmitted by the International Bureau. Date of mailing (from form PCT/IB/308): 22 March 2001 (22.03.01).
  - ☐ is not required as the application was searched by the United States International Searching Authority.
  - ☐ will be transmitted promptly upon request.

e. ☐ has been submitted by applicant on (date) \_\_\_\_\_.

12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98:

a. ☐ is transmitted herewith.

Also transmitted herewith is

☐ Form PTO-1449

☐ Copies of citations listed

b. ☐ will be transmitted within THREE MONTHS of the date of submission of requirements under 35 USC 371(c).

c. ☐ was previously submitted by applicant on (date) \_\_\_\_\_.

13. ☐ The applicant claims small entity status with respect to this application.

☐ A Verified Statement Claiming Small Entity Status is attached.

☐ The undersigned claims small entity status on behalf of the applicant.

14. ☐ An assignment document is transmitted herewith for recording. A separate ☐ "RECORDATION COVER SHEET" is also attached.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

15. ☒ Additional documents

a. ☐ Copy of request (PCT/RO/101)

b. ☒ International Publication No. WO 01/20115

i. ☐ Specification, claims and drawing

ii. ☒ Front page only

c. ☒ Preliminary amendment

d. ☒ Abstract

e. ☐ Other

\_\_\_\_\_

16. ☒ The above checked items are being transmitted

a. ☒ before 30 months from any claimed priority date.

- b. ☐ after 30 months but before 32 months (surcharge and/or processing fee included) from any claimed priority date.

17. [ ] Certain requirements under 35 USC 371 were previously submitted by the applicant on \_\_\_\_\_, namely:

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0070980-03070P

1. 455(c);

Fpa for "conv."

ICIAL

# FEE CALCULATION SHEET

## Entry into National Phase of PCT/KR00/01026

| CLAIMS FEE   | (1) FOR   | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--------------|---|------------------|------------------|----------|------------------|
| [ ]          | TOTAL CLAIMS  | 3                | -20 =            | 0        | x \$ 18 = \$ 0   |
|              | INDEPENDENT CLAIMS  | 1                | - 3 =            | 0        | x \$ 84 = \$ 0   |
|              | MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |                  |                  |          | + \$280 = \$ 0   |
|              |   |                  |                  |          |                  |
| BASIC FEE    | [ ] U.S. PTO WAS INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY<br>Where an international preliminary examination fee as set forth in § 1.482 has been paid on the international application to the U.S. PTO:  |                  |                  |          |                  |
|              | [ ] and the international preliminary examination report states that the criteria of novelty, inventive step (non-obviousness) and industrial activity, as defined in PCT Article 33(1) to (4) have been satisfied for all the claims presented in the application entering the national state (37 CFR 1.492(a)(4)) \$100 |                  |                  |          |                  |
|              | [ ] and the above requirements are not met (37 CFR 1.492(a)(1)) \$710   |                  |                  |          |                  |
|              | [x] U.S. PTO WAS NOT INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY<br>Where no international preliminary examination fee as set forth in § 1.482 has been paid to the U.S. PTO, and payment of an international search fee as set forth in § 1.445(a)(2) to the U.S. PTO:   |                  |                  |          |                  |
|              | [ ] has been paid (37 CFR 1.492(a)(2)) \$740  |                  |                  |          |                  |
|              | [x] has not been paid (37 CFR 1.492(a)(3)) \$1,040  |                  |                  |          |                  |
|              | [ ] where a search report on the international application has been prepared by the European Patent Office or the Japanese Patent Office (37 CFR 1.492(a)(5)) \$890   |                  |                  |          |                  |
|              |   |                  |                  |          |                  |
|              |   |                  |                  |          |                  |
|              |   |                  |                  |          |                  |
| OTHER FEES   | Surcharge of \$130 for furnishing the oath or declaration later than 30 months (but no later than 32 months) from any claimed priority date (37 CFR 1.492(e) and 37 CFR 1.495(c)).  |                  |                  |          |                  |
|              | Total of above Calculations = 1,040   |                  |                  |          |                  |
| SMALL ENTITY | Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (note 37 CFR 1.9, 1.27, 1.28)   |                  |                  |          |                  |
|              | Subtotal 1,040  |                  |                  |          |                  |
|              | Processing fee of \$130 for furnishing the English Translation later than 30 months (but not later than 32 months) from any claimed priority date (37 CFR 1.492(f) and 37 CFR 1.495(c)).  |                  |                  |          |                  |
|              | Total Basic Fee \$ 1,040  |                  |                  |          |                  |
|              | Fee for recording the enclosed assignment document \$40 (37 CFR 1.21(h)).   |                  |                  |          |                  |
| TOTAL        | TOTAL FEES ENCLOSED   |                  |                  |          | \$ 1,040         |

See attached Preliminary Amendment.

[x] A check in the amount of \$1,040 to cover the fee under 37 CFR 1.492(a)(3) is enclosed.

[x] Please charge any additional basic filing fee under 37 CFR 1.492(a) which may be required by this paper, or credit any overpayment to Deposit Account No. 19-2560. (Do not charge additional claim fees under 37 CFR 1.492(b) or 1.492(c) or the surcharge for belated filing of the Declaration under 37 CFR 1.492(e) to the Deposit Account.) This sheet is filed in duplicate.

Penelope Stockwell